



# TRANSMITTAL FORM

to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> to be used for all correspondence after initial filing)		Application Number	10/688,277
		Filing Date	October 17, 2003
		First Named Inventor	Shoji Kodama
		Art Unit	Unassigned
		Examiner Name	Unassigned
Total Number of Pages in This Submission	4	Attorney Docket Number	16869B-016510US

## ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): USPTO form SB08A (one page), Return Postcard.
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Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	Townsend and Townsend and Crew LLP George B. F. Yee	Reg. No. 37,478
Signature		
Date	December 2, 2003	

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Cynthia McKinley		
Signature		Date	December 2, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I am the applicant of:

Shoji Kodama et al.

Application No.: 10/688,277

Filed: October 17, 2003

For: DIRECT ACCESS STORAGE  
SYSTEM WITH COMBINED BLOCK  
INTERFACE AND FILE INTERFACE  
ACCESS

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Shoji Kodama et al.  
Application No.: 10/688,277  
Page 2

PATENT

Respectfully submitted,



George B. F. Yee  
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60081781 v1



<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	10/688,277		
		Filing Date	October 17, 2003		
		First Named Inventor	Shoji Kodama		
		Art Unit	Unassigned		
		Examiner Name	Unassigned		
Sheet	1	of	1	Attorney Docket Number	16869B-016510US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	1	US-6,606,690	08-12-2003	Padovano	
	2	US-2003/0126523	07-03-2003	Corbett et al.	
	3	US-2003/0120743	06-26-2003	Coatney et al.	
	4	US-2003/0110237	06-12-2003	Kitamura et al.	
	5	US-6,574,667	06-03-2003	Blumenau et al.	
	6	US-2003/0046357	03-06-2003	Doyle et al.	
	7	US-6,516,351	02-04-2003	Borr	
	8	US-2002/0161855	10-31-2002	Manczak et al.	
	9	US-2002/0156984	10-24-2002	Padovano	
	10	US-2002/0095547	07-18-2002	Watanabe et al.	
	11	US-6,324,581	11-27-2001	Xu et al.	
	12	US-2001/0037406	11-01-2001	Philbrick et al.	
	13	US-6,301,605	10-09-2001	Napolitano et al.	
	14	US-6,230,200	05-08-2001	Forecast et al.	
	15	US-6,195,703	02-27-2001	Blumenau et al.	
	16	US-6,108,750	08-22-2000	Yamamoto et al.	
	17	US-5,838,950	11-17-1998	Young et al.	
	18	US-5,828,823	10-27-1998	Byers et al.	
	19	US-5,680,537	10-21-1997	Byers et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.  
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